

## **ANDREW WAUGH KC**

ICO Registration No. Z4755892

### **Privacy Notice**

#### **Privacy Policy**

In order properly to provide legal advice and representation, I need to collect and hold personal information. This may be your personal data or information relating to other parties involved in the matter. I will take all possible steps to protect personal information. I will ensure that I do not do anything that may infringe your rights or undermine your trust. This privacy notice describes the information I collect about you, how it is used and shared, and your rights regarding it.

#### **Data controller**

I, Andrew Waugh KC, am a member of the set of barristers' chambers referred to as 'Three New Square IP'. I am registered with the Information Commissioner's Office (ICO) as a Data Controller for the personal data that I hold and process as a barrister. My registered address is 3 New Square, Lincoln's Inn, London WC2A 3RS and my ICO registration number is Z4755892. If you need to contact me about your data or this privacy notice, you can reach me at [andrewpwaugh@3newsquare.co.uk](mailto:andrewpwaugh@3newsquare.co.uk)

#### **Data collection**

The information I hold about you is provided to, or gathered by, me in the course of your case and/or legal proceedings. Your solicitor and/or I will tell you why we need the information and how we will use it. In addition to the information you may provide to me or your solicitor, I also obtain information from other sources as follows:

- Information that is available publicly in registers, searches or in the media
- Other legal professionals including solicitors and barristers and their associates, trainees and staff
- Chambers staff
- Expert witnesses
- Regulatory, public or administrative bodies
- Court staff & officials
- Clients
- References

#### **What data do I process about you?**

Depending on the type of work, I collect and process both personal data and special categories of personal data as defined in the UK GDPR. This may include:

- Name
- Email address

- Phone number
- Address
- Payment or bank details
- Date of birth
- Next of kin details
- Details pertaining to education and employment
- Information on your background & current circumstances
- Financial information.

Where relevant, I may also need to process special category personal data that reveals your:

- Racial or ethnic origin
- Political opinions
- Religious and philosophical beliefs
- Trade union membership
- Genetic data
- Biometric data for the purpose of uniquely identifying a natural person
- Data concerning health
- Sex life and sexual orientation.

### **My lawful basis for processing your information**

In order that I can provide legal services and representation for you, I must process your personal data. The UK General Data Protection Regulation (the UK GDPR) requires that where I process personal data, I must have a lawful basis for doing so. The lawful bases identified in the UK GDPR that I seek to rely upon are as follows:

1. **The legitimate interests of my business or a third party, except where such interests are overridden by the interests, rights or freedoms of the data subject.**

Examples of legitimate interests include but are not limited to:

- Where the data subject is a client or in the service of a controller
  - Provision of legal services and advice.
  - Processing is necessary to ensure network and information security, including preventing unauthorised access
  - For purposes of practice management, accounting and debt recovery.
  - For completion of professional regulatory requirements.
  - Processing for direct marketing purposes, or to prevent fraud.
  - Reporting threats to public security.
2. **Performance of a contract with the data subject, or to take steps to enter into a contract.**
  3. **Compliance with a legal obligation** – to comply with various regulatory and professional obligations.

4. **Consent of the data subject** – where this required, I will ensure that I have your specific consent for processing your data for the specified purposes. You will also have the right to withdraw your consent at any time. Where you do so this will not affect the legality of data processing which had taken place prior to your withdrawal of consent.

### **Special category processing**

The UK GDPR specifies that where I process special category data, I must rely upon certain exemptions in order to do so lawfully. The following exemptions are applicable in my practice

1. I have your explicit consent to do so; or
2. It is necessary for the exercise or defence of legal claims or judicial acts.

### **Purposes:**

#### **I use your personal information for the following purposes:**

- Provide legal advice and representation;
- Assist in training pupils and mini pupils;
- Investigate and address your concerns;
- Communicate with you about news, updates and events;
- Investigate or address legal proceedings relating to your use of my services, or as otherwise allowed by applicable law;
- Make statutory returns;
- Assist in any tendering or panel membership applications;
- Assist in any other applications for the purpose of professional development or career progression;
- Communicate legal updates and judgments to other legal professionals;
- For marketing purposes.
- For the management and administration of my practice
- To recover debt
- To manage complaints with regulators
- Communications with regulators
- Where relevant to conduct anti money laundering, terrorist financing or conflict of interest checks

#### **In the course of processing your information to provide legal services to you, I may share your personal data with:**

- Instructing solicitors or other lawyers involved in your case;
- A pupil or mini pupil, under my training;
- Opposing counsel, for the purposes of resolving the case;
- Court Officials, including the Judiciary;
- Opposing lay clients
- My chambers' management and staff who provide administrative services for my practice;

- Expert witnesses and other witnesses;
- My regulator or legal advisors in the event of a dispute, complaint or other legal matter;
- Head of Chambers or complaints committee within my chambers, in the event of a complaint;
- Law enforcement officials, government authorities, or other third parties, to meet any legal obligations;
- Legal directories, for the purpose of professional development;
- Any relevant panel or tendering committee, for the purpose of professional development;
- Accountants and banking officials;
- Regulators or arbitrators, where complaints or disputes arise;
- Any other party where I ask you for consent, and you consent, to the sharing.
- Other sub-processors of chambers, such as email, software and cloud computing providers, couriers and document storage companies.
- I may also be required to disclose your information to the Police or Intelligence services where required by law or pursuant to a court order

### **Transfers to third countries and international organisations**

I may transfer personal data abroad because I use Apple's iCloud cloud storage data systems which may store data abroad. However, all data relating to my practice that I upload to cloud storage systems is encrypted on my computers before being uploaded.

I am satisfied that such transferred data is fully protected and safeguarded as required by the UK General Data Protection Regulation.

**I retain your personal data** while you remain a client unless you ask me to delete it. My Retention and Disposal Policy (copy available on request) details how long I hold data for and how I dispose of it when it no longer needs to be held. I will delete or anonymise your information at your request unless:

- There is an unresolved issue, such as a claim or dispute;
- I am legally required to; or
- There are overriding legitimate business interests to do so.

### **Your rights**

The UK GDPR gives you specific rights in terms of your personal data. For example, you have the right of access to the information I hold and what I use it for; you can ask for a copy of the personal information I hold about you.

You can ask me to correct any inaccuracies with the personal data I hold, and you can ask me to stop sending you direct mail or emails or, in some circumstances, ask me to stop processing your details.

Finally, if I do something irregular or improper with your personal data, you can complain to the ICO if you are unhappy with how I have processed your information or dealt with your

query. You may also seek compensation for any distress you are caused or loss you have incurred.

You can find out more information from the ICO's website:

[http://ico.org.uk/for the public/personal information](http://ico.org.uk/for_the_public/personal_information)

### **Accessing and correcting your information**

You may request access to, correction of, or a copy of your information by contacting me at [andrewpwaugh@3Newsquare.co.uk](mailto:andrewpwaugh@3Newsquare.co.uk)

### **Marketing opt-outs**

You may opt out of receiving emails and other messages from my practice by following the instructions in those messages.

**I will occasionally update my privacy notice.** When I make significant changes, I will publish the updated notice on my chambers' website profile.

14<sup>th</sup> September 2022